

TRI-STATE OVERSIGHT COMMITTEE



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DRPT



TOC Rail Safety & Security Oversight

Quarterly Update September 13, 2012



General Summary

- TOC has expressed concern about some high profile accidents, incidents, and hazardous conditions which occurred this year and WMATA is currently addressing
- FTA Audit of WMATA safety has been completed, with TOC as a participant
- Internal safety and security audits remain strong
- CAP progress is ongoing
- TOC participation in Silver Line and 7000 Series Railcar projects continues
- Rule Compliance Special Study will move forward in October



Highlighting WMATA Improvement

- WMATA continues to make improvements to its system safety program implementation
 - Safety Measurement System (SMS)
 - Hazard Management Module
 - Taking appropriate steps in response to high-profile incidents
 - Improved compliance with reporting deadline requirements
 - Providing unencumbered access to information



Sustaining Progress

- TOC continues to have a productive working relationship with all levels of WMATA staff
- TOC hopes to maintain this relationship of openness and transparency



FTA Audit of WMATA

- TOC was an active participant
- TOC will monitor findings identified by FTA and resulting CAPs
- TOC is working with both WMATA and FTA to address outcomes
- Ensure focus on quality, not quantity, of WMATA response
 - TOC's primary concern is Metrorail system safety
 - Appropriate steps taken to implement each CAP
 - TOC remains mindful of variations in complexity and time-frame for each finding



General Accident/Incident/Hazard Management

- TOC is working to monitor all investigative activities
- Currently expecting reports and CAPs with respect to:
 - Escalators
 - Uncommanded/Off-Platform Door Openings
 - Friction Rings
 - Heat Kink Derailment
 - Passenger Self-Evacuation
 - Shady Grove Accident



Internal Audits

- WMATA internal audits remain strong
- Meeting all frequency requirements
- Leading to development and implementation of CAPs
- TOC remains pleased with the results of WMATA's efforts

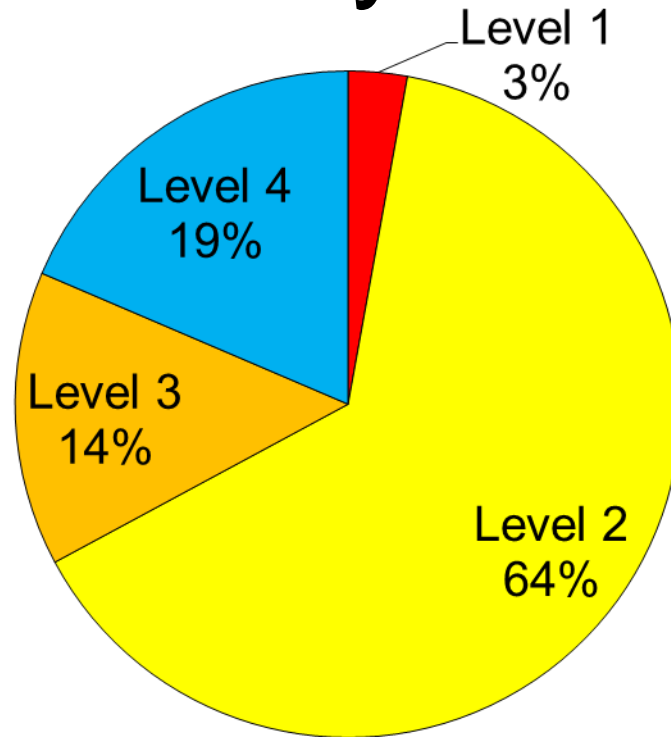


CAP Process Needs Continued Attention

- WMATA has made significant strides in addressing open CAPs, particularly those with respect to the Roadway Worker Protection program and awaiting NTSB feedback.
- Progress during August CAPTURE meeting positive: 15 closed, 3 currently under TOC review.
- 138 CAPs are presently open. Of the open CAPs:
 - 70 have been rated
 - 68 were added in August 2012



Open CAPs by Hazard Rating



NOTE: Does not include 68 open CAPs initiated in late 2011 and 2012 for which no hazard rating has yet been assigned.

Level 1: 2/70	Level 3: 10/70
Level 2: 45/70	Level 4: 13/70



Internal TOC Activities

- TOC will soon complete a proactive “mock” audit of its own program
 - Scheduled for September 14 & 24, 2012
 - Assistance to be provided by WMATA SAFE and MTPD personnel
 - NOT an audit of WMATA
 - Designed to find whether TOC is successfully adhering to SSO requirements ahead of a “real” audit



TOC Oversight of Capital Projects

- Ongoing oversight of Silver Line Safety Certification Working Group (SCWG) and Start-Up meetings
- Participation in 7000 Series Railcar SCWG and Project Review efforts
- TOC oversight of capital projects is limited to safety and security



Rule Compliance Special Study

- Undertaken as follow-up to new procedures stemming from findings of 2010 triennial review, 2011 yard rule compliance audit, and recent incidents involving rule violations
- Do WMATA's current rule compliance efforts adhere to its new procedures?
- Structure will include field observations, interviews, and records reviews
- Study to be completed as of October 2012
- TOC will notify the Board of study results



Questions and Comments

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