

TRI-STATE OVERSIGHT COMMITTEE



d.



DRPT

Tri-State Oversight Committee Rail Safety & Security Oversight

Quarterly Update
to WMATA Board of Directors

July 24, 2014



Topics of Presentation

- TOC Oversight of the Silver Line Phases 1 and 2
- TOC Oversight of 7000-Series Railcars
- Accident/Incident Investigation Update
- Corrective Action Plan Progress
- Changes to the TOC under MAP-21



TOC Oversight of the Silver Line Phases 1 and 2



TOC Oversight of the Silver Line Phase 1

- Silver Line Pre-Revenue Service Review (PRSR)
 - PRSR occurred May 27–30.
 - Involved documentation review, interviews with key personnel, and field observations
 - Interim Report provided June 6
 - Multiple rounds of updates and responses to findings



TOC Oversight of the Silver Line Phase 1

- Final Steps
 - TOC continues working with WMATA to:
 - Verify that corrective actions are completed
 - Confirm workarounds are in place for incomplete items
 - Ensure all findings are addressed before revenue service
 - Daily conference calls
 - TOC will publish Final Report



TOC Oversight of the Silver Line Phase 2

- Also monitoring Phase 2 activities
- TOC attends WMATA/MWAA meetings
 - i.e. Safety/Security Certification Working Group
- Will apply lessons learned from Phase 1



TOC Oversight of 7000-Series Railcars



TOC Oversight of 7000-Series Railcars

- TOC has been following the 7K safety and security certification
- TOC will conduct a safety readiness review this year
 - Will follow shorter, but similar format/procedure as PRSR and three-year safety and security reviews



Accident/Incident Investigation Update



Accident/Incident Investigation

- As of July 7: 49 open accident/incident investigations
- Official TOC adoption of WMATA investigations increased in the second quarter of 2014:
 - From April 10 to July 7: 22 were closed, 20 were added
 - From Jan. 5 to April 9: 9 were closed, 18 were added



Corrective Action Plan Update



Corrective Action Plans (CAPs)

- As of July 7: 109 open safety-related CAPs and 17 security-related CAPs
- TOC and WMATA conduct monthly work sessions each for CAPs and accident/incident investigations



New Business:

Changes to the TOC Under MAP-21 (Moving Ahead for Progress in the 21st Century)



Changes to the TOC under MAP-21: Background

- July 6, 2012: MAP-21 signed into law
- Mandates enhancements for State Safety Oversight (SSO) Agencies, including TOC
- Not a discretionary action for the TOC
- USDOT funding to DC, MD, and VA requires compliance



Changes to the TOC under MAP-21: Background

- Requires SSO agencies to:
 - have statutory enforcement authority
 - Ability to conduct audits and order investigations, etc.
 - be able to compel transit agencies to act in response to safety deficiencies
 - Issuing emergency orders
 - Suspending service
 - Issuing fines and civil penalties
 - Holding hearings



Changes to the TOC under MAP-21: New Organization

- The governors of MD and VA, and the mayor of DC, have committed to creating the “Metro Safety Commission” (MSC)
- Will replace the TOC
- Independent legal entity
- Staffed with its own personnel
- This change requires enabling legislation by DC, MD, and VA, and a compact ratified by the U.S. Congress
- MSC will perform current TOC oversight activities, but with the enhanced activity and enforcement powers required



Changes to the TOC under MAP-21: MSC Implementation Milestones

- 6 months: Update existing TOC standards and procedures to reflect MAP-21 enhancements, including procedures for:
 - Verifying completion of Corrective Action Plans
 - Conducting audits and accident investigations
- 12 months: Policy staff and legal counsel set policies
- 18 months: Develop draft legislative provisions for FTA approval
- 1 year after Final Rule: Write and pass enabling legislation establishing the MSC
- 3 years after Final Rule: Establishment and implementation of the MSC



Changes to the TOC under MAP-21: Transition to MSC

- TOC will continue providing updates to WMATA Board of Directors
- TOC met with WMATA General Manager and will report progress to WMATA leadership during transformation
- TOC will continue functioning as the designated SSO agency until the MSC is established



Focus Areas for Next Quarter



Focus Areas for Next Quarter

- Monitor completion of permanent, long-term mitigations resulting from Silver Line findings
- Continue oversight of Silver Line Phase 2
- Continue implementing MAP-21 work plan
- Conduct readiness review of 7000-series railcars
- Continue TOC's routine work activities



Questions and Comments

www.tristateoversight.org

202-355-9467